



PFC

Predictive Functional Control

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Outline

- ✓ As simple as possible
 - Motivation
 - PFC main ideas
 - An introductory example

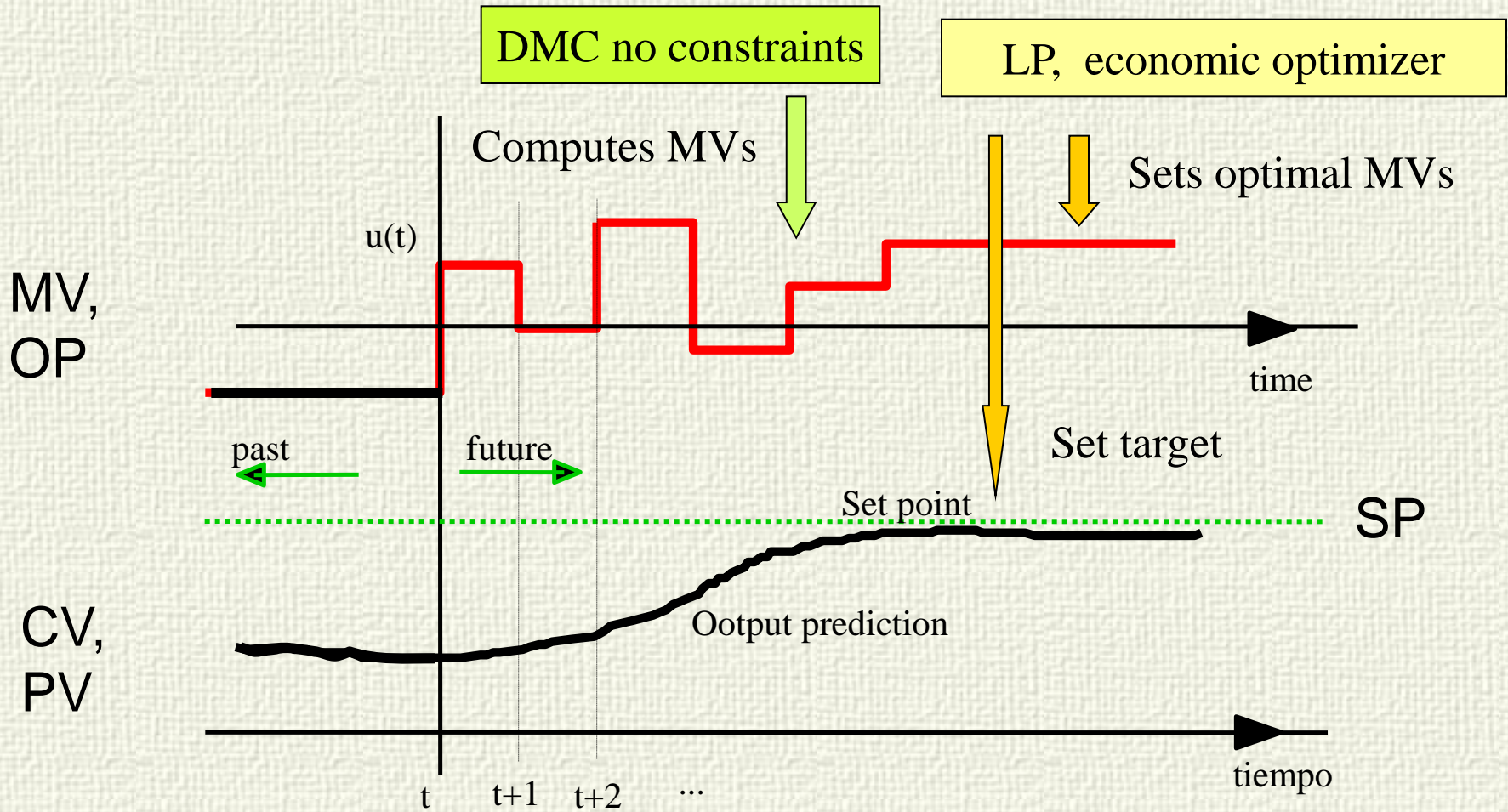


Motivation

- ✓ Predictive control is a widely used control technique in the process industry combined with an optimization layer
- ✓ Most of the implementations use internal linear models (step responses) and LP optimizers



DMC



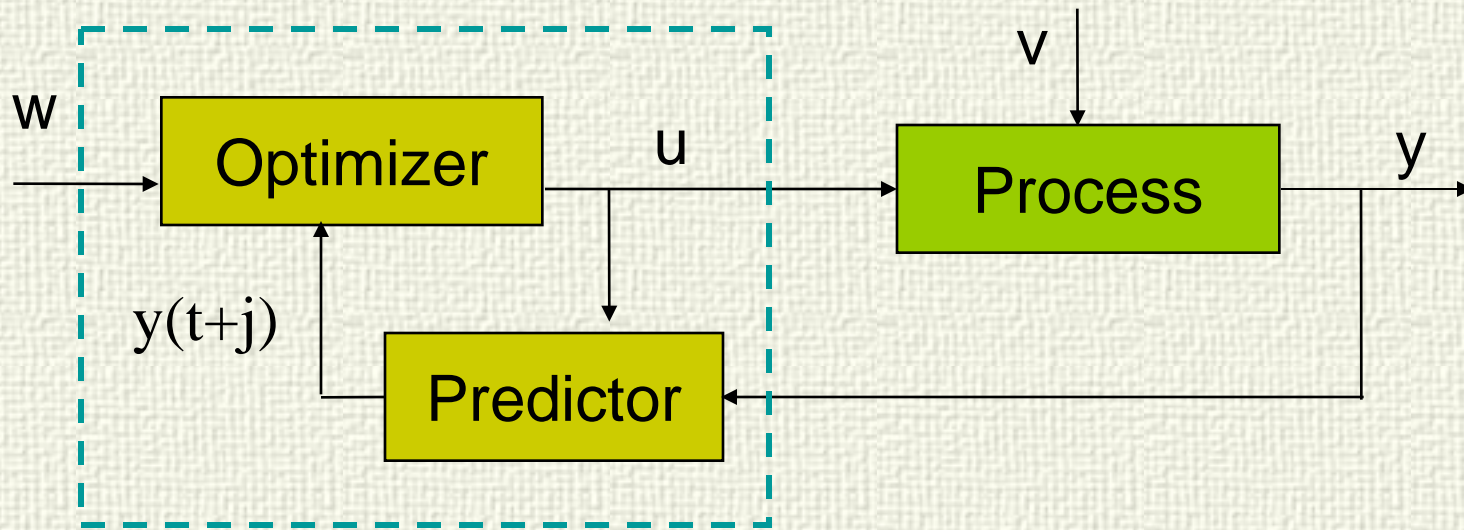


Motivation

- ✓ When a significant non-linear process is faced, a non-linear controller based on a non-linear plant model is required.
- ✓ Current approaches based on first-principles models, NN, volterra series, etc. lead to a non-linear optimization problem that must be solved on-line
- ✓ This is a heavy burden, both from the implementation and computational load



Non-linear Predictive Control



$$\min_{\Delta u} J = \sum_{j=N_1}^{N_2} [\hat{y}(t+j) - w(t+j)]^2 + \sum_{j=0}^{N_u-1} [\beta \Delta u(t+j)]^2$$

$$\dot{x} = f(x(t), u(t))$$

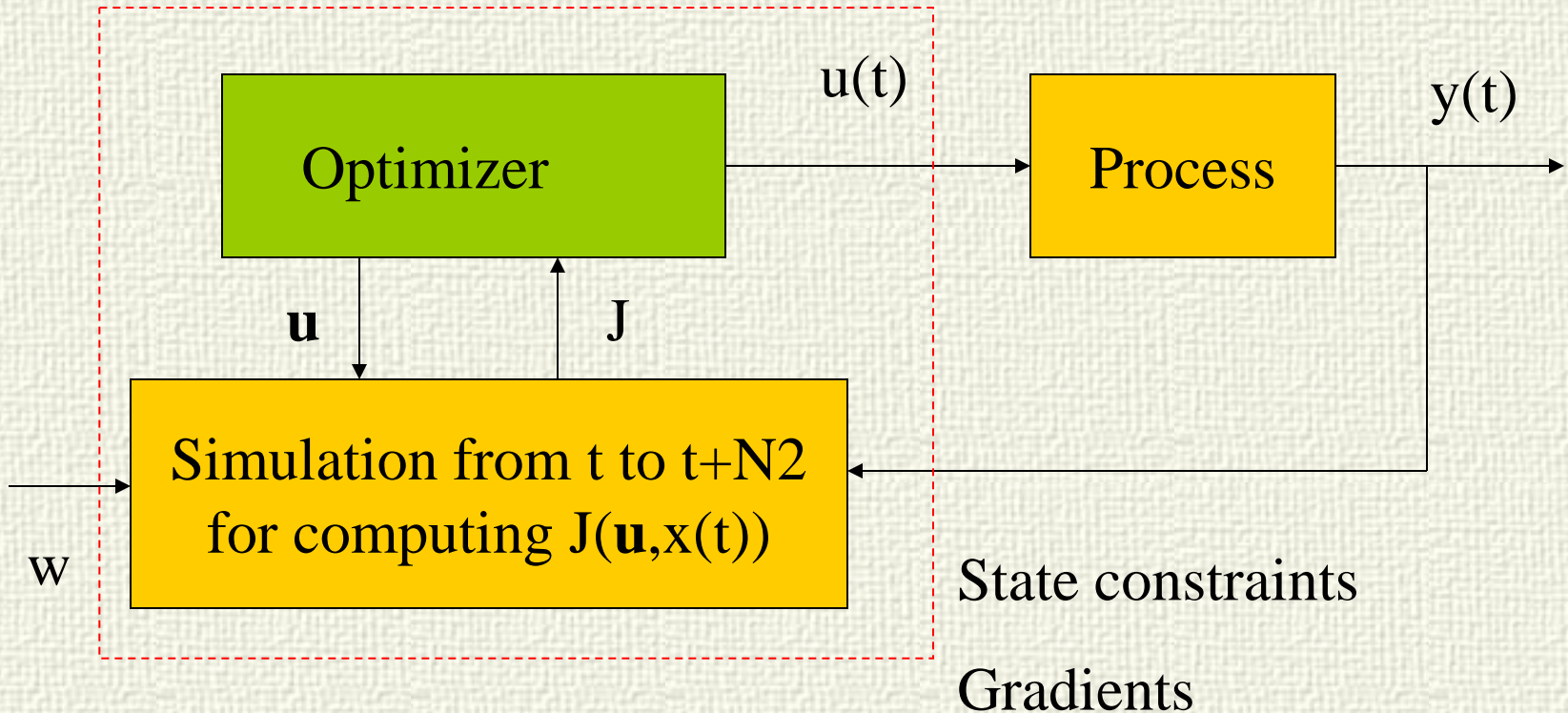
$$y(t) = g(x(t), u(t))$$

$$\underline{y} \leq y(t+j) \leq \bar{y}$$

$$\underline{u} \leq u(t+j) \leq \bar{u}$$



Solving the dynamic NLP problem





Aim

- ✓ The aim of **P**arametric **P**redictive **C**ontrol (**PPC**) is to facilitate the implementation of the controller while retaining the main non-linear characteristics in its internal model
- ✓ Good compromise between speed of execution, easy of implementation and performance
- ✓ Target: Embedded controller in a DCS

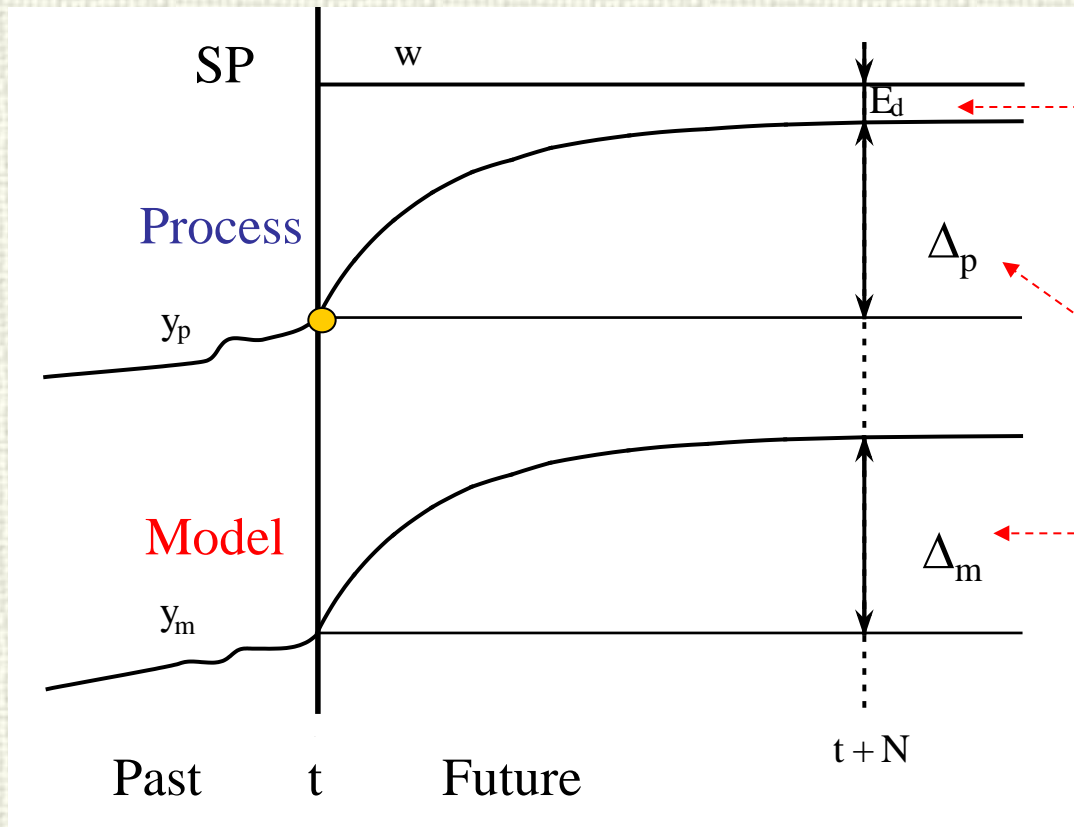


PPC Main ideas

- ✓ Combines first principles models with MPC ideas and generates a simplified solution that is updated every sampling time.
- ✓ *Parametric Predictive Control (PPC)* (J. Richalet, 1996) was developed for, and successfully applied to, temperature control of batch reactors.
- ✓ A. Assandri, A. Rueda, PhD students
- ✓ Three steps:
 - Basic ideas presented in the **linear** case
 - Extended to the **non-linear** case with a chemical reactor CSTR
 - **Industrial application** to the bottom temperature control of a distillation column heated with a furnace-reboiler.



Basic concepts



Aim: Decrease the error in the future until a certain percentage of the current error $w - y(t)$

This implies to change the process output by Δ_p

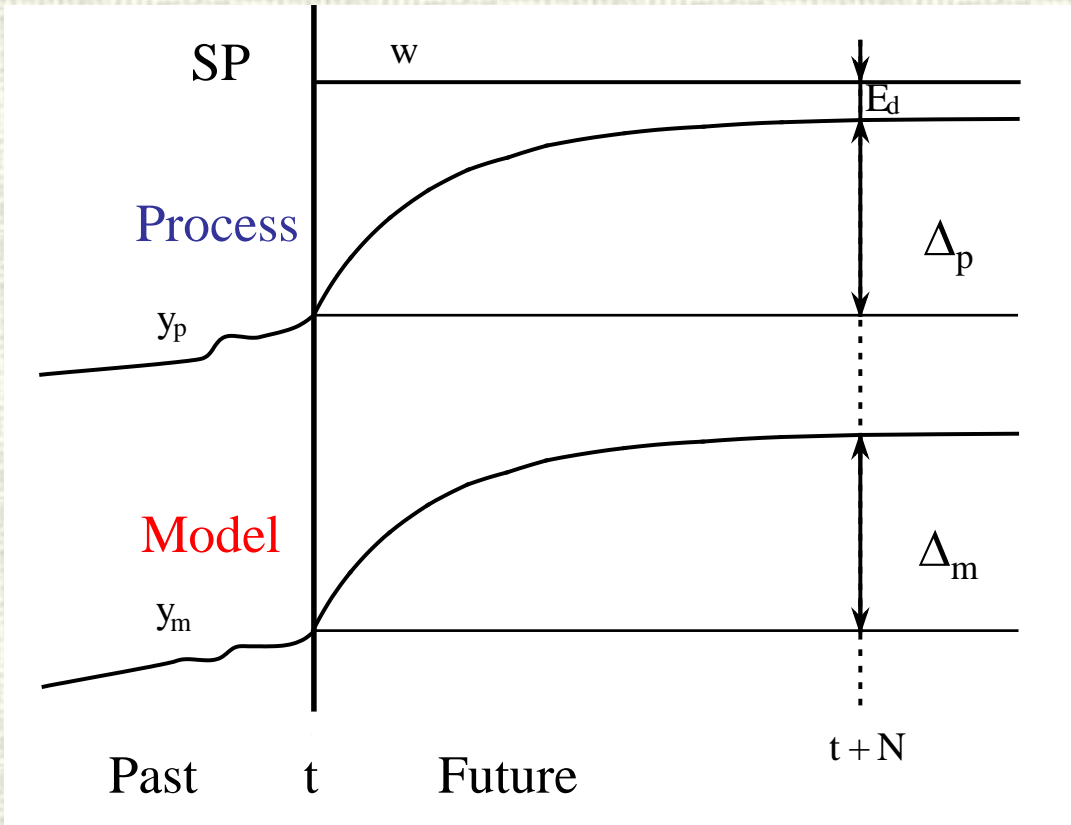
Use the model to compute the control $u(t)$ that provides a change in the model output $\Delta_m(u) = \Delta_p$

Key design equation: $\Delta_m(u) = \Delta_p$



Basic concepts

The future error at $t+N$ must be a fraction of the current error $w - y(t)$



$$E_d = \lambda^N (w - y_p(t)) \quad 0 < \lambda < 1$$

$$\Delta_p = (1 - \lambda^N)(w - y_p(t))$$

Example: First order model

$$\tau \frac{dy(t)}{dt} + y(t) = k u(t)$$



Basic concepts (Monoreg)

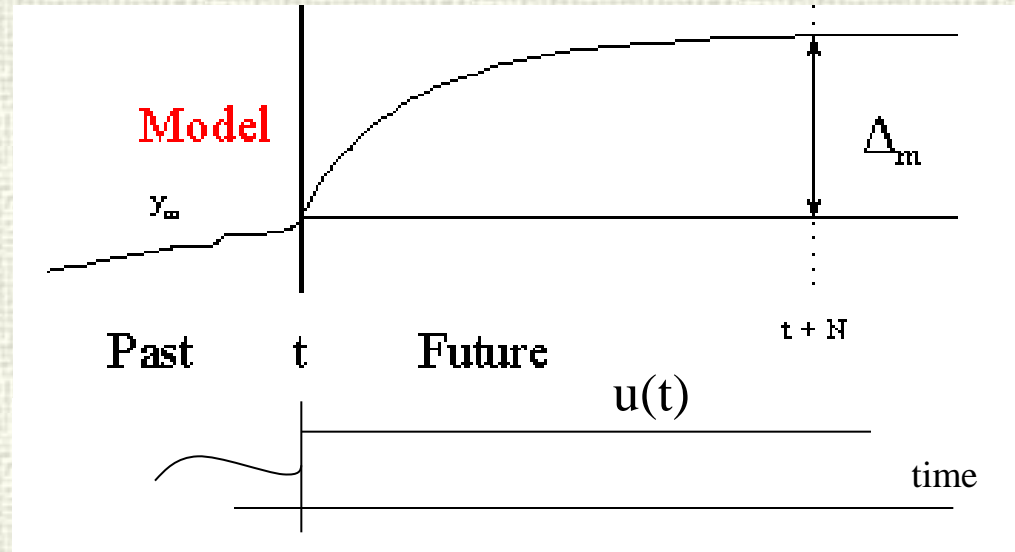
Example: First order model

$$\tau \frac{dy(t)}{dt} + y(t) = k u(t)$$

Assuming that $u(t)$ is kept constant along the prediction horizon, that is $N_u = 1$, and with initial condition $y_p(t)$:

T_s sampling time

N prediction (or coincidence) horizon

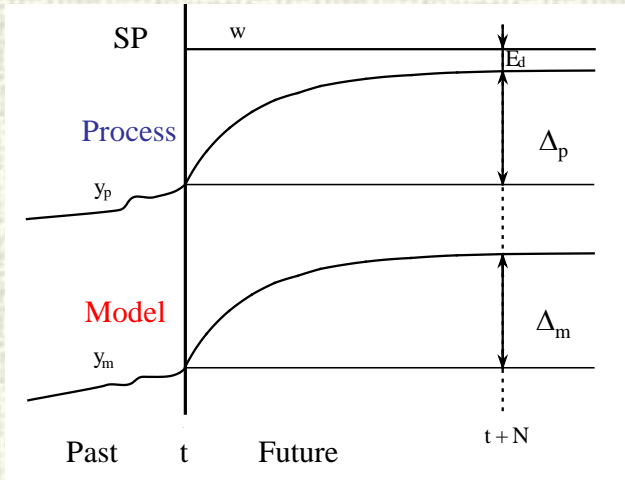


$$y_m(t + NT_s) = e^{-\frac{NT_s}{\tau}} y_p(t) + k u(t) \left(1 - e^{-\frac{NT_s}{\tau}} \right)$$

$$\Delta y_m = \left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) - k u(t) \left(e^{-\frac{NT_s}{\tau}} - 1 \right)$$



Design equation



$$\Delta_p = (1 - \lambda^N)(w - y_p(t))$$

$$\Delta y_m = \left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) - k u(t) \left(e^{-\frac{NT_s}{\tau}} - 1 \right)$$

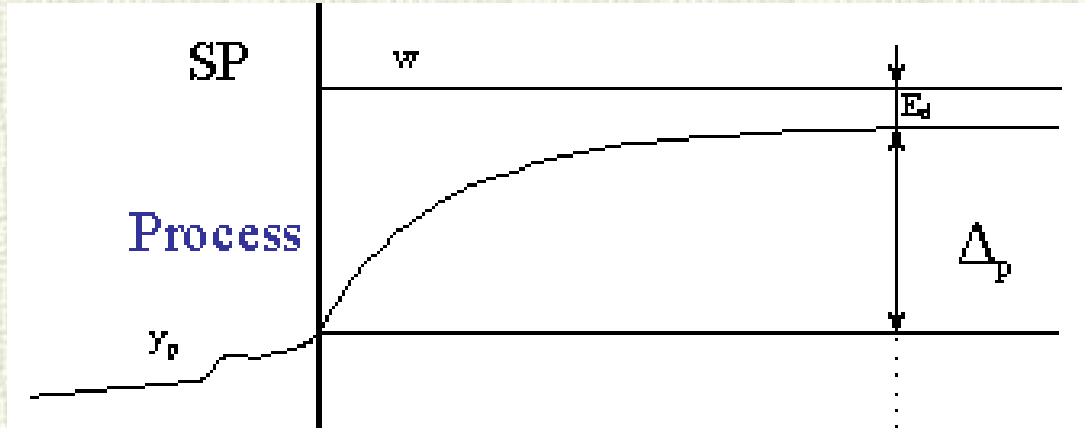
design equation: $\Delta_m(u) = \Delta_p$

Explicit solution for
the control signal

$$u(t) = \frac{\left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) - (1 - \lambda^N) [w(t) - y_p(t)]}{K \left(e^{-\frac{NT_s}{\tau}} - 1 \right)}$$



Tuning parameters



A discrete first order system with pole λ will give a free response as the one desired at $t+NT_s$

$$\frac{1}{1 - \lambda q^{-1}}$$

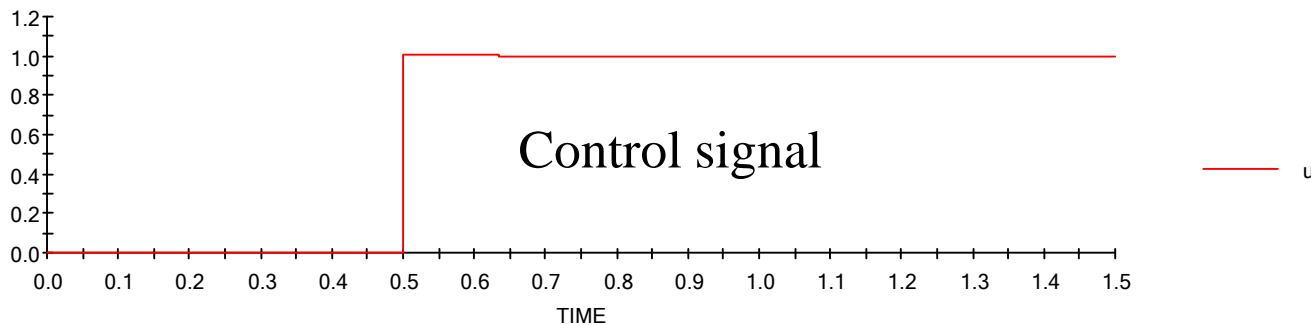
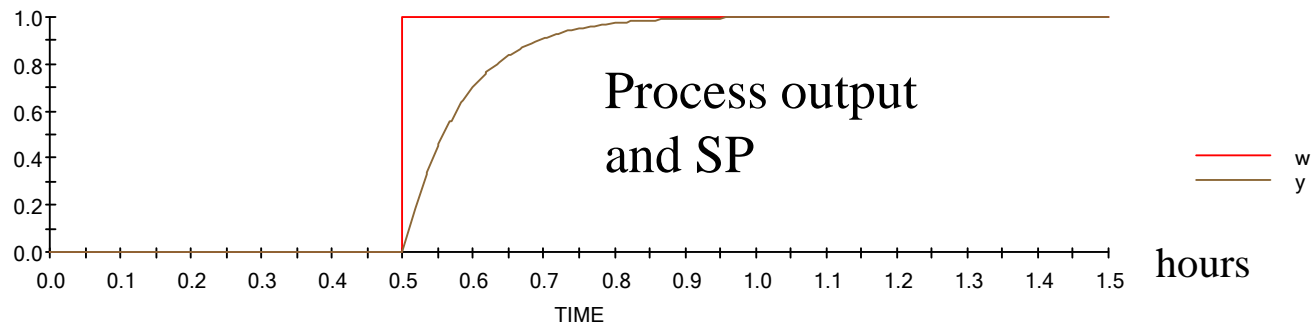
$$u(t) = \frac{\left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) - \left(1 - \lambda^N \right) \left[w(t) - y_p(t) \right]}{K \left(e^{-\frac{NT_s}{\tau}} - 1 \right)}$$

N prediction horizon (number of sampling periods required to decrease the current error by λ^N)

λ Reduction factor (0,...,1)



Example. Ideal case



Model =
Process

$$G(s) = \frac{1}{5s + 1}$$

$$K = 1$$

$$\tau = 5 \text{ min.}$$

$$N = 20$$

$$\lambda = 0.8$$



Extension to $N_u > 1$

Example: First order model

$$\tau \frac{dy(t)}{dt} + y(t) = k u(t)$$

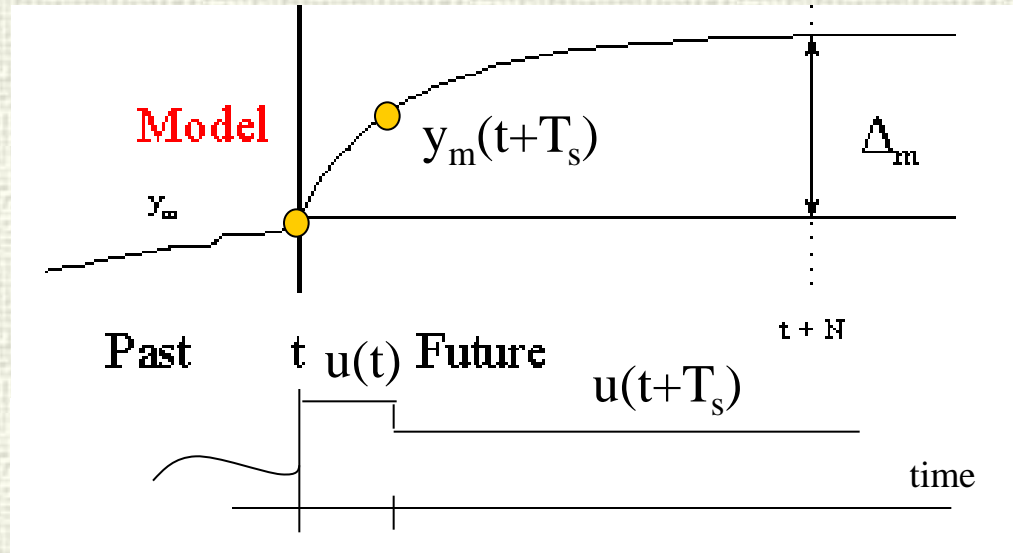
$$N_u = 2$$

Predictions are more complex

$$y_m(t + T_s) = e^{-\frac{T_s}{\tau}} y_p(t) + k u(t) \left(1 - e^{-\frac{T_s}{\tau}} \right)$$

Two unknowns: $u(t)$, $u(t+T_s)$, then two coincidence points are required

$$y_m(t + NT_s) = e^{-\frac{(N-1)T_s}{\tau}} y_m(t + T_s) + k u(t + T_s) \left(1 - e^{-\frac{(N-1)T_s}{\tau}} \right)$$





Robustness

10% change
in the
parameters

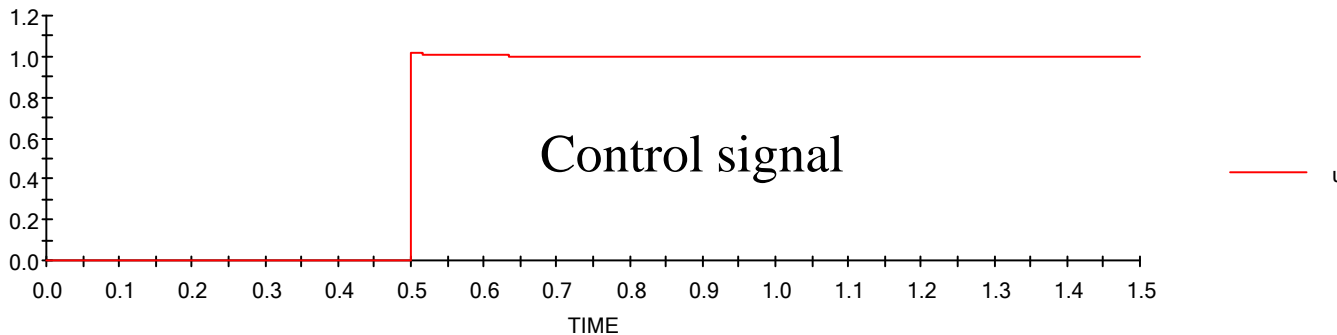
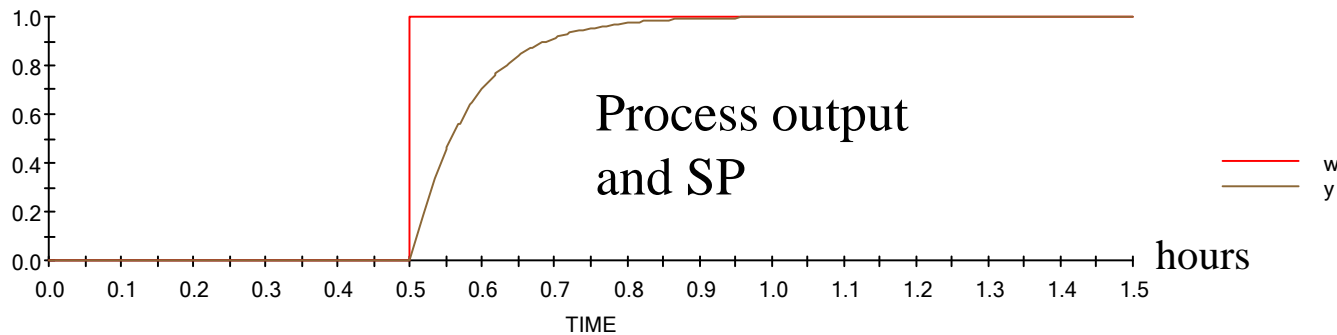
**Model \neq
Process**

Model:

$$G(s) = \frac{1}{5.5s + 1}$$

$$N = 20$$

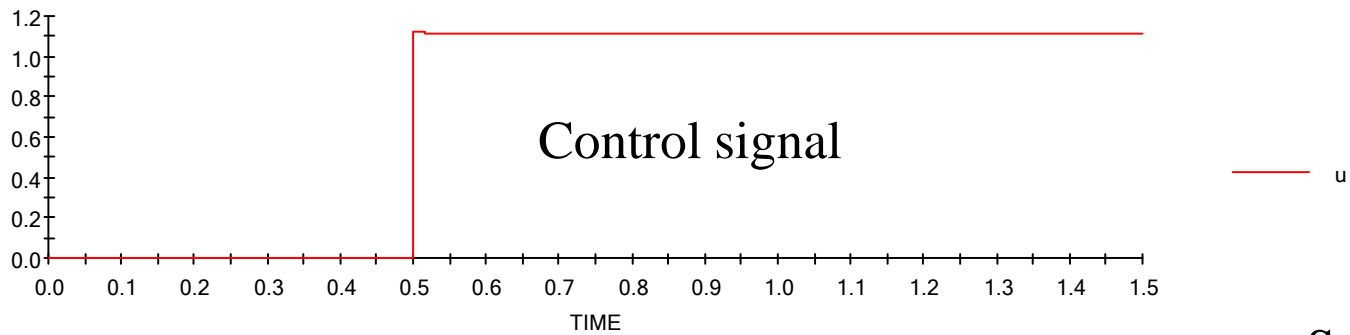
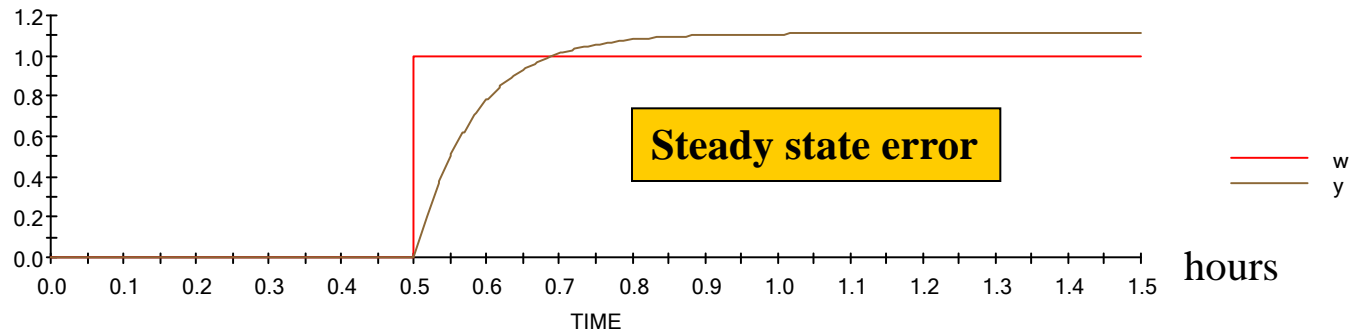
$$\lambda = 0.8$$



A bit slower



Robustness



Model \neq
Process

Model:

$$G(s) = \frac{0.9}{5s + 1}$$

$$N = 20$$

$$\lambda = 0.8$$

Solution: error
model or explicit
integrator added



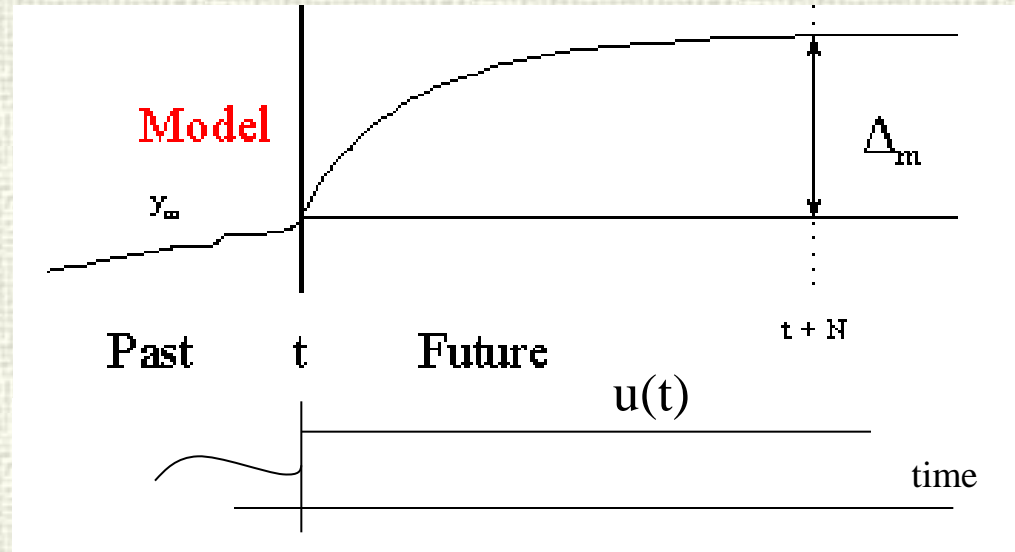
Incorporating errors

Model:

$$\tau \frac{dy(t)}{dt} + y(t) = k u(t) + v$$

v disturbance

Assuming also that v does not change along the prediction horizon:

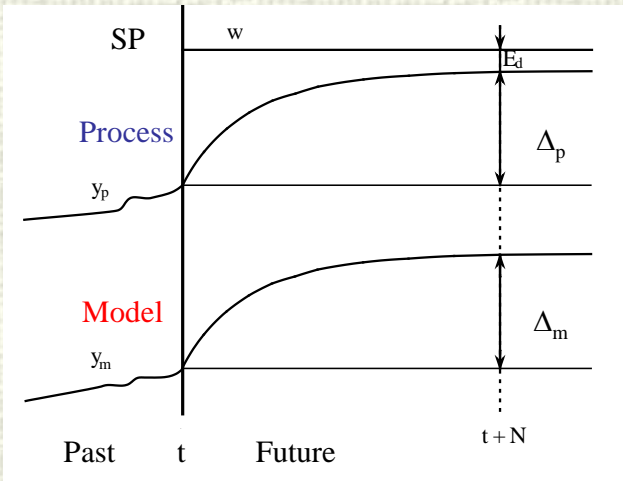


$$y(t + NT_s) = e^{-\frac{NT_s}{\tau}} y_p(t) + K u(t) \left(1 - e^{-\frac{NT_s}{\tau}} \right) + v \left(1 - e^{-\frac{NT_s}{\tau}} \right)$$

$$\Delta y_m = \left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) + K u(t) \left(1 - e^{-\frac{NT_s}{\tau}} \right) + v \left(1 - e^{-\frac{NT_s}{\tau}} \right)$$



Design equation



$$\Delta_p = (1 - \lambda^N)(w - y_p(t))$$

$$\Delta y_m = \left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) + K u(t) \left(1 - e^{-\frac{NT_s}{\tau}} \right) + v \left(1 - e^{-\frac{NT_s}{\tau}} \right)$$

design equation: $\Delta_m(u) = \Delta_p$

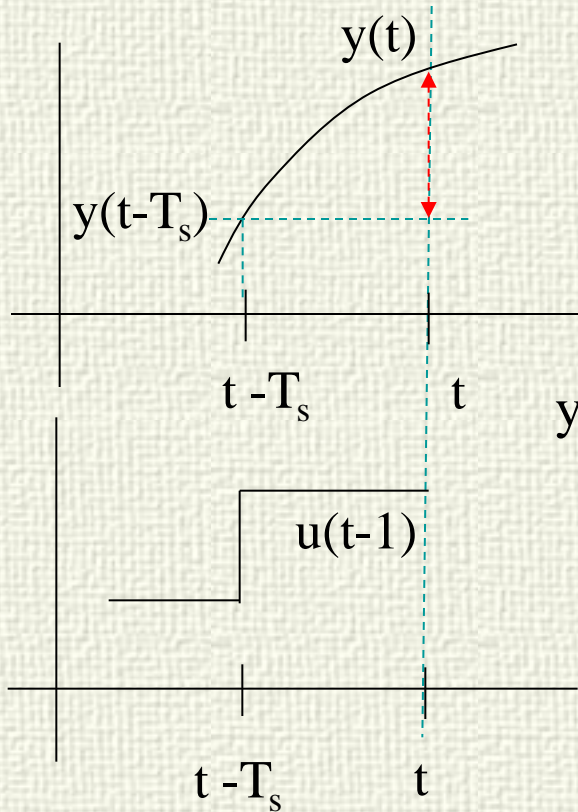
Controller equation.

v is not known and needs to be estimated every sampling time

$$u(t) = \frac{\left(e^{-\frac{NT_s}{\tau}} - 1 \right) (y_p(t) - v) - (1 - \lambda^N) [w(k) - y_p(t)]}{K \left(e^{-\frac{NT_s}{\tau}} - 1 \right)}$$



Estimating v



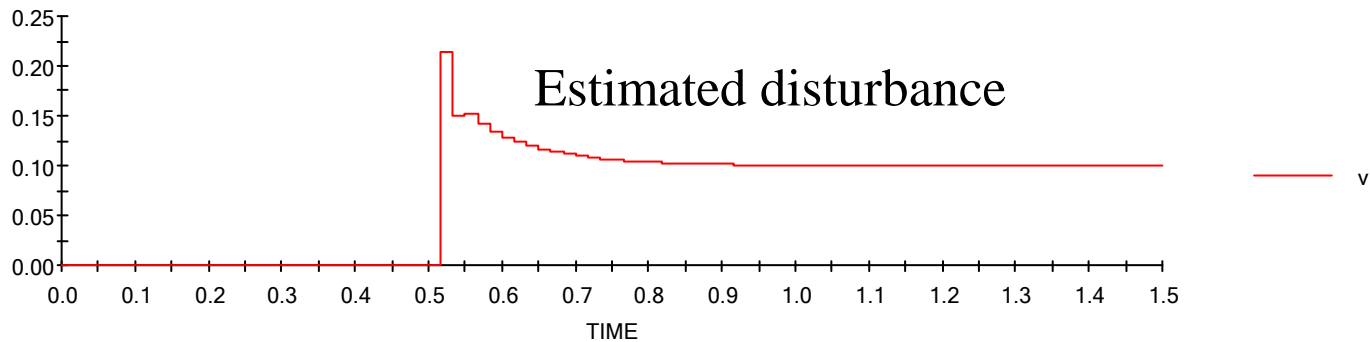
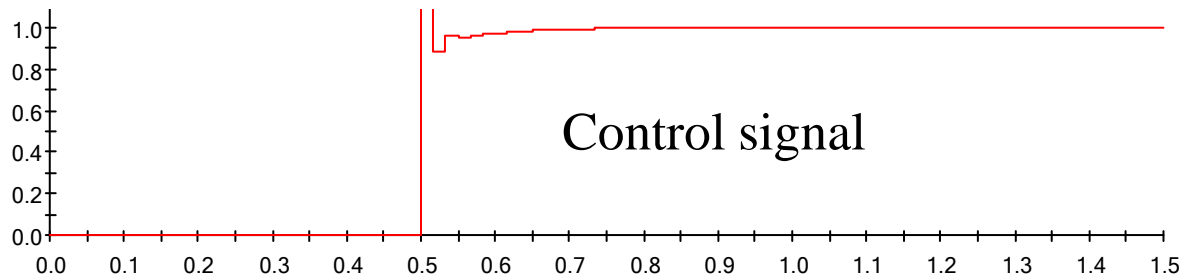
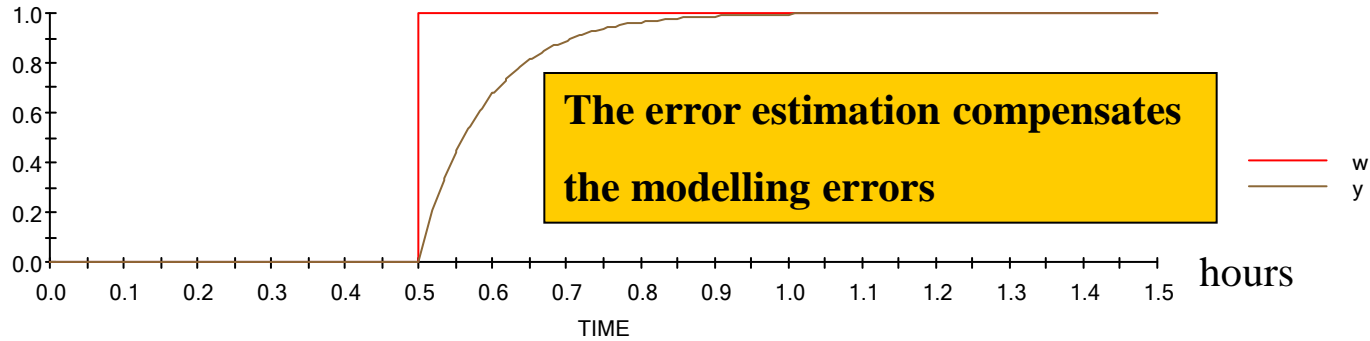
v is estimated from the process model in order to cancel the difference between the measured process output at t and the prediction made with values at $t - T_s$

$$y_p(t) = e^{-\frac{T_s}{\tau}} y_p(t - T_s) + K u(t - T_s) \left(1 - e^{-\frac{T_s}{\tau}} \right) + v \left(1 - e^{-\frac{T_s}{\tau}} \right)$$

$$\hat{v} = \frac{y_p(t) - e^{-\frac{T_s}{\tau}} y_p(t - T_s) - K u(t - T_s) \left(1 - e^{-\frac{T_s}{\tau}} \right)}{\left(1 - e^{-\frac{T_s}{\tau}} \right)}$$



Example



Model \neq
Process

Model:

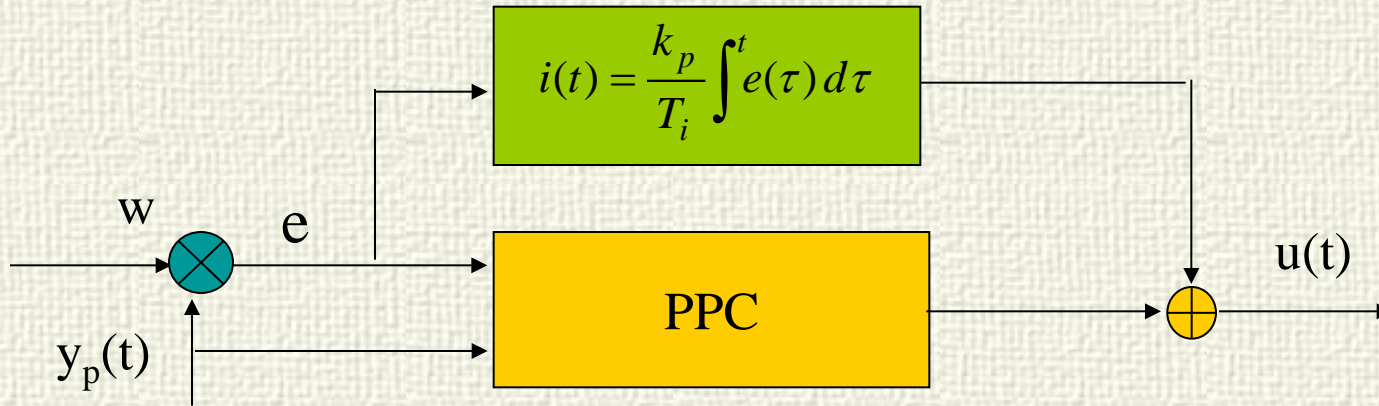
$$G(s) = \frac{0.9}{5.5s + 1}$$

$$N = 20$$

$$\lambda = 0.8$$



Adding an integrator



$$i(t) = i(t - T_s) + \frac{T_s}{T_i} e(t - T_s) = i(t - T_s) + \frac{T_s}{T_i} (w(t - T_s) - y_p(t - T_s))$$

$$u(t) = \frac{\left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) - (1 - \lambda^N) [w(t) - y_p(t)]}{K \left(e^{-\frac{NT_s}{\tau}} - 1 \right)} + i(t - T_s) + \frac{T_s}{T_i} e(t - T_s)$$



Example

Model \neq
Process

Model:

hours

$$G(s) = \frac{0.9}{5.5s + 1}$$

Control signal

$$N = 20$$

$$\lambda = 0.8$$

$$T_i = 0.1 \text{ min}$$

$$T_s = 20 \text{ sec.}$$



First order + delay

Model:

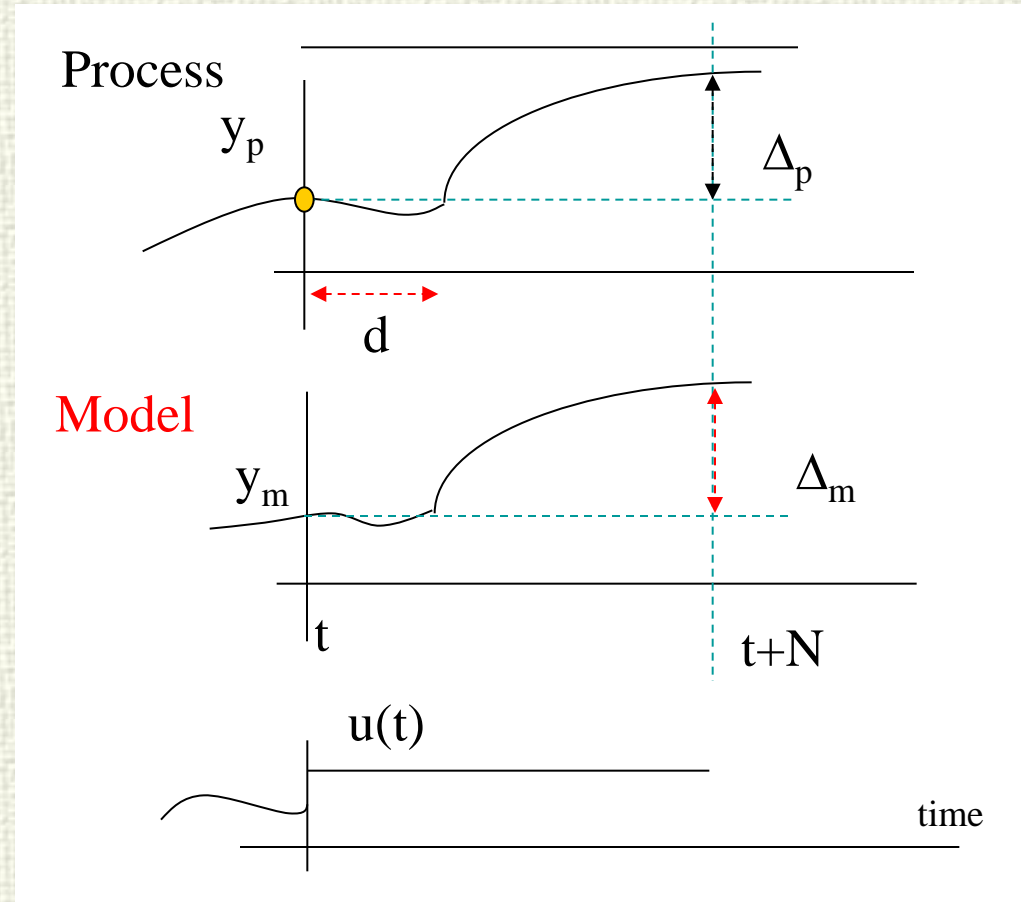
$$\tau \frac{dy(t)}{dt} + y(t) = k u(t-d) + v$$

v disturbance

d delay

$$d = DT_s$$

$$\Delta_p = \left(1 - \lambda^{N-D}\right) \left[w(t) - y_p(t)\right]$$





First order + delay

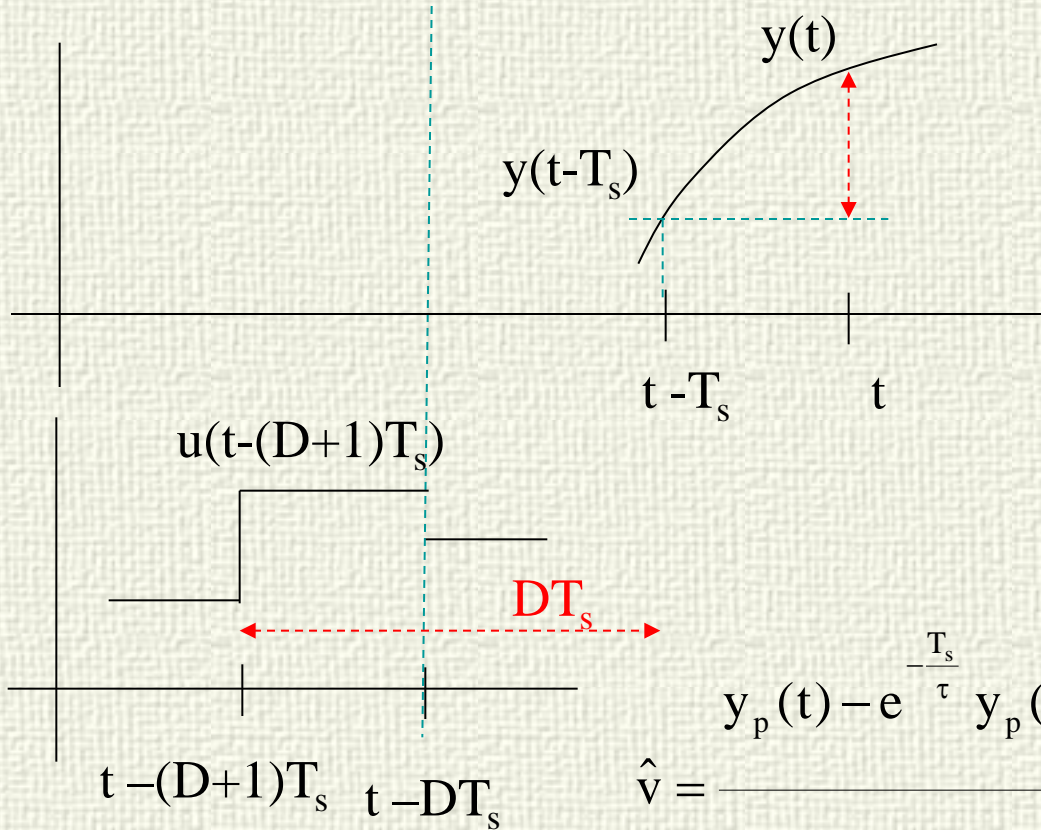
$$\Delta_p = \left(1 - \lambda^{N-D}\right) \left[w(t) - y_p(t) \right]$$

$$\Delta y_m = \left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) + e^{-\frac{NT_s}{\tau}} K \left(e^{\frac{T_s}{\tau}} - 1 \right) \sum_{m=t}^{t+D-1} u(m-D) e^{\frac{(m-t)T_s}{\tau}} +$$
$$+ u(t) K \left(1 - e^{-\frac{(N-D)T_s}{\tau}} \right) + v \left(1 - e^{-\frac{NT_s}{\tau}} \right) \quad N > D$$

$$u(t) = \left[K \left(1 - e^{-\frac{(N-D)T_s}{\tau}} \right) \right]^{-1} \left\{ \left(1 - \lambda^{N-D} \right) \left[w(t) - y_p(t) \right] - \left(e^{-\frac{NT_s}{\tau}} - 1 \right) y_p(t) - \right.$$
$$\left. - e^{-\frac{NT_s}{\tau}} K \left(e^{\frac{T_s}{\tau}} - 1 \right) \sum_{m=t}^{t+D-1} u(m-D) e^{\frac{(m-t)T_s}{\tau}} - v \left(1 - e^{-\frac{NT_s}{\tau}} \right) \right\}$$



Estimating v



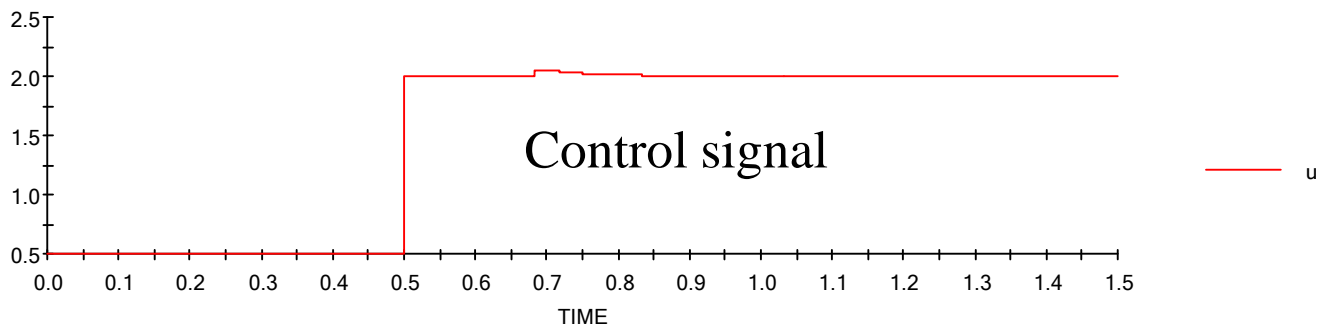
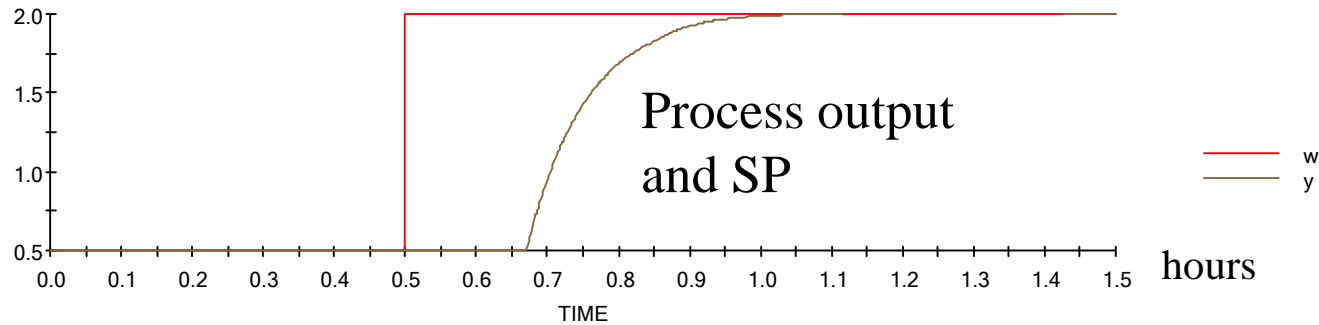
v is estimated from the process model in order to cancel the difference between the measured process output at t and the prediction made with values at $t - T_s$

$$\hat{v} = \frac{y_p(t) - e^{-\frac{T_s}{\tau}} y_p(t - T_s) - K u(t - (D + 1)T_s) \left(1 - e^{-\frac{T_s}{\tau}} \right)}{\left(1 - e^{-\frac{T_s}{\tau}} \right)}$$

+ smoothing filter



Example. Ideal case



Model =
Process

$$G(s) = \frac{e^{-10s}}{5s + 1}$$

$$K = 1$$

$$\tau = 5 \text{ min.}$$

$$d = 10 \text{ min.}$$

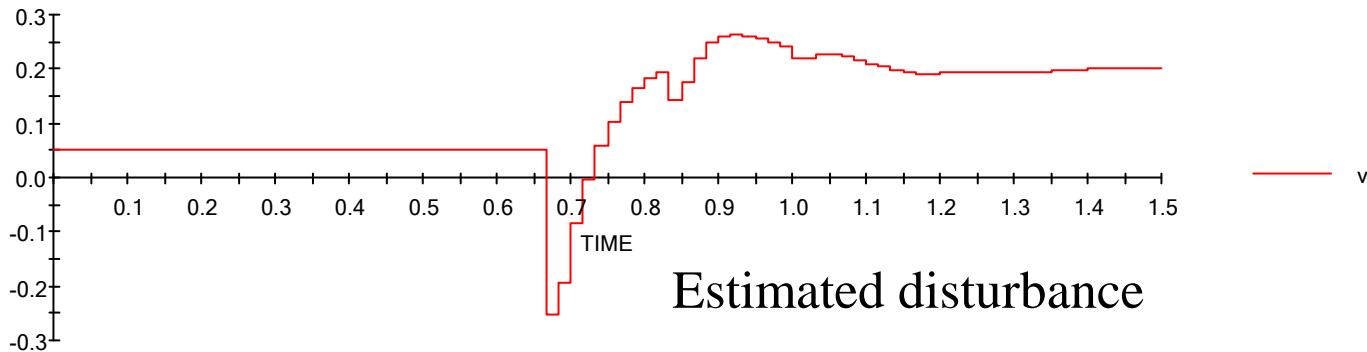
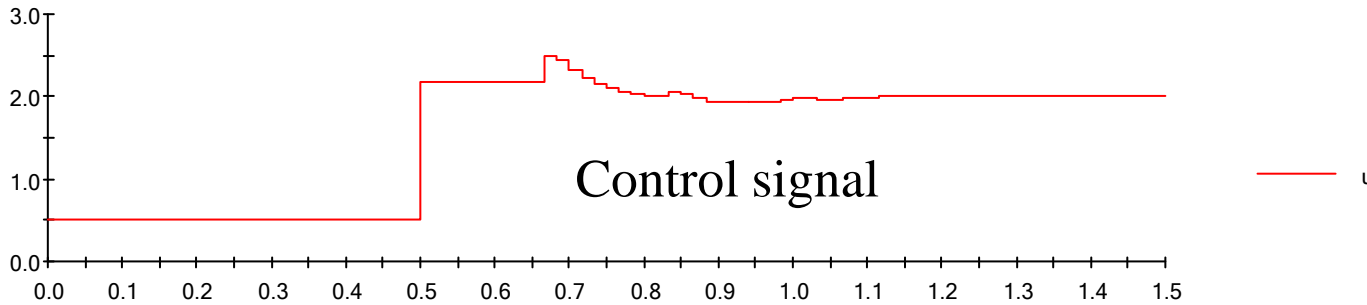
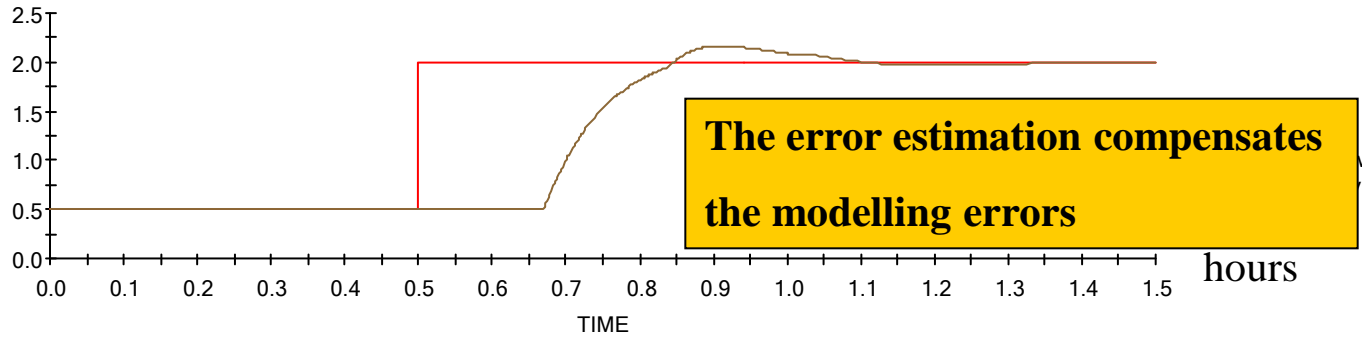
$$N = 40$$

$$\lambda = 0.8$$

$$T_s = 1 \text{ min.}$$



Robustness



Model \neq
Process

Model:

$$G(s) = \frac{0.9e^{-9s}}{5.5s + 1}$$

$$N = 40$$

$$\lambda = 0.8$$